

FACTS

WHAT DOES ROCKWOOD WEALTH MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect, and share depend on the product or service you have with us. This information can include: ■ Social Security number and date of birth ■ Financial account balances, holdings, and transaction history ■ Investment experience, income, and assets ■ Retirement, tax, and estate planning information ■ Risk tolerance and financial objectives When you are <i>no longer</i> our client, we continue to share your information as permitted or required by law.
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons clients choose to share; and whether you can limit this sharing.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	No	N/A
For our affiliates' everyday business purposes— information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?	Call (267) 983-6400 or go to www.rockwoodwealth.com
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Who we are

Who is providing this notice?

Rockwood Wealth Management, LLC

What we do

How does Rockwood Wealth Management, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings, as well as other physical, electronic, and procedural safeguards. They include—but are not limited to—secure systems, access controls, encryption where appropriate, employee training, and strict information-handling policies.

How does Rockwood Wealth Management, LLC collect my personal information?

We collect your personal information, for example, when you

- Sign a Wealth Management Agreement
- Open an account
- Request investment or financial planning advice
- Tell us about your investment or retirement portfolio

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. Rockwood Wealth Management has no affiliates.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies including custodians, technology providers, independent advisors, accountants, attorneys and other professionals you authorize.

- We do not share with nonaffiliates so they can market to you

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- We do not jointly market to you

Other important information

If you maintain accounts for which you are acting on behalf of another individual or entity (e.g., trustee, executor, or business), we will treat the information in accordance with this notice.